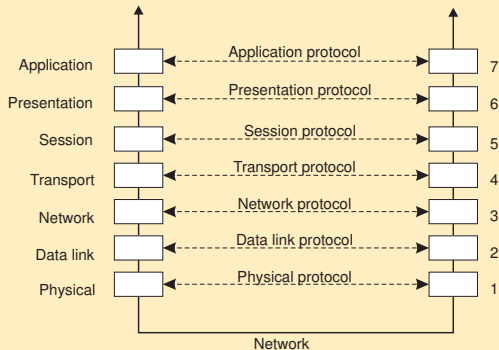# Distributed Systems

(3rd Edition)

Chapter 04: Communication

Version: February 25, 2017

Basic networking model

Drawbacks

- Focus on message-passing only
- Often unneeded or unwanted functionality
- Violates access transparency

Low-level layers

Recap

- Physical layer: contains the specification and implementation of bits, and their transmission between sender and receiver
- Data link layer: prescribes the transmission of a series of bits into a frame to allow for error and flow control
- Network layer: describes how packets in a network of computers are to be routed.

Observation

For many distributed systems, the lowest-level interface is that of the network layer.

Transport Layer

Important

The transport layer provides the actual communication facilities for most distributed systems.

Standard Internet protocols

- TCP: connection-oriented, reliable, stream-oriented communication
- UDP: unreliable (best-effort) datagram communication

Middleware layer

Observation

Middleware is invented to provide common services and protocols that can be used by many different applications

- A rich set of communication protocols
- (Un)marshaling of data, necessary for integrated systems
- Naming protocols, to allow easy sharing of resources
- Security protocols for secure communication
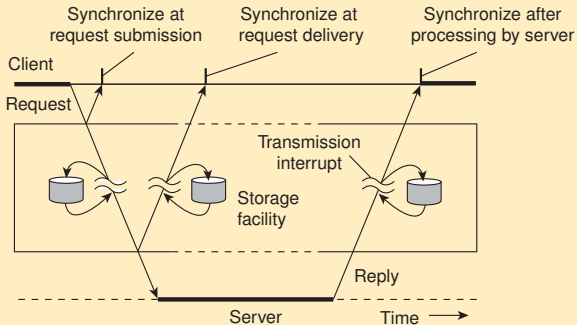- Scaling mechanisms, such as for replication and caching

Note

What remains are truly application-specific protocols... such as?
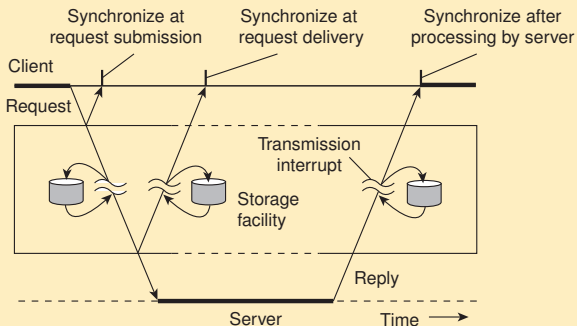
An adapted layering scheme
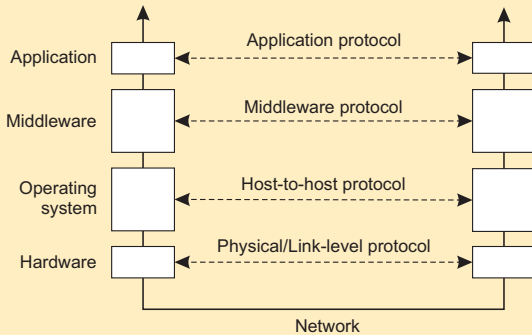
Types of communication

Distinguish...

- Transient versus persistent communication
- Asynchronous versus synchronous communication

Types of communication

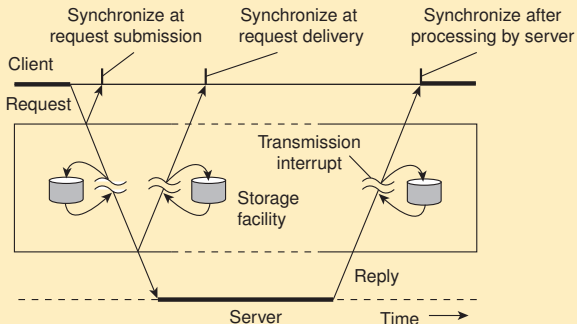## Transient versus persistent

- Transient communication: Comm. server discards message when it cannot be delivered at the next server, or at the receiver.
- Persistent communication: A message is stored at a communication server as long as it takes to deliver it.

Types of communication

Places for synchronization

- At request submission
- At request delivery
- After request processing

Client/Server

Some observations

Client/Server computing is generally based on a model of transient synchronous communication:

- Client and server have to be active at time of communication
- Client issues request and blocks until it receives reply
- Server essentially waits only for incoming requests, and subsequently processes them

Client/Server

Some observations

Client/Server computing is generally based on a model of transient synchronous communication:

- Client and server have to be active at time of communication
- Client issues request and blocks until it receives reply
- Server essentially waits only for incoming requests, and subsequently processes them

Drawbacks synchronous communication

- Client cannot do any other work while waiting for reply
- Failures have to be handled immediately: the client is waiting
- The model may simply not be appropriate (mail, news)

Messaging

Message-oriented middleware

Aims at high-level persistent asynchronous communication:

- Processes send each other messages, which are queued
- Sender need not wait for immediate reply, but can do other things
- Middleware often ensures fault tolerance
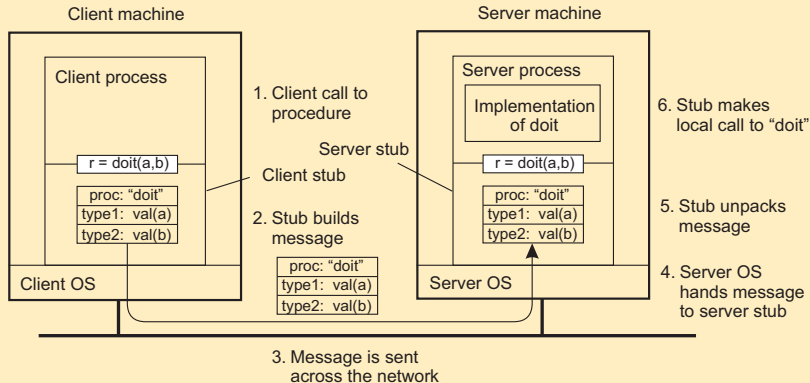
Basic RPC operation

Observations

- Application developers are familiar with simple procedure model
- Well-engineered procedures operate in isolation (black box)
- There is no fundamental reason not to execute procedures on separate machine

Conclusion

Communication between caller & callee can be hidden by using procedure-call mechanism.
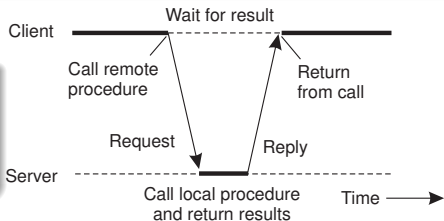
Basic RPC operation

1. Client procedure calls client stub.
2. Stub builds message; calls local OS.
3. OS sends message to remote OS.
4. Remote OS gives message to stub.
5. Stub unpacks parameters; calls server.
6. Server does local call; returns result to stub.
7. Stub builds message; calls OS.
8. OS sends message to client's OS.
9. Client's OS gives message to stub.
10. Client stub unpacks result; returns to client.

RPC: Parameter passing

There's more than just wrapping parameters into a message

- Client and server machines may have different data representations (think of byte ordering)
- Wrapping a parameter means transforming a value into a sequence of bytes
- Client and server have to agree on the same encoding:

- How are basic data values represented (integers, floats, characters)
- How are complex data values represented (arrays, unions)

Conclusion

Client and server need to properly interpret messages, transforming them into machine-dependent representations.

RPC: Parameter passing

Some assumptions

- Copy in/copy out semantics: while procedure is executed, nothing can be assumed about parameter values.
- All data that is to be operated on is passed by parameters. Excludes passing references to (global) data.

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Conclusion

Full access transparency cannot be realized.

RPC: Parameter passing

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Conclusion

Full access transparency cannot be realized.

A remote reference mechanism enhances access transparency

- Remote reference offers unified access to remote data
- Remote references can be passed as parameter in RPCs
- Note: stubs can sometimes be used as such references

Asynchronous RPCs

Essence

Try to get rid of the strict request-reply behavior, but let the client continue without waiting for an answer from the server.
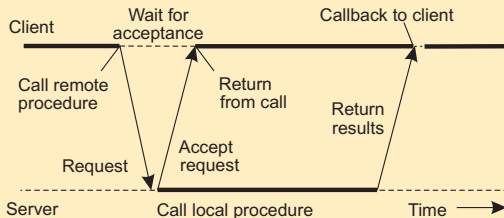
Sending out multiple RPCs

Essence

Sending an RPC request to a group of servers.
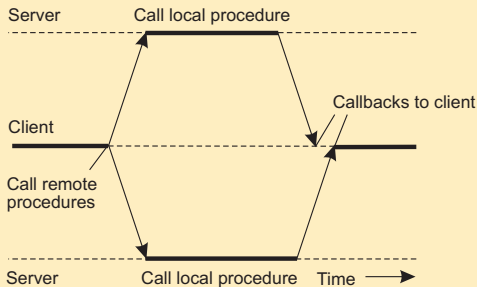
RPC in practice

Client-to-server binding (DCE)

Issues

(1) Client must locate server machine, and (2) locate the server.

Directory machine

Directory server

3. Look up server

2. Register service

Client machine

Server machine

Client

5. Do RPC

Server

1. Register port

4. Ask for port

DCE daemon

Port table
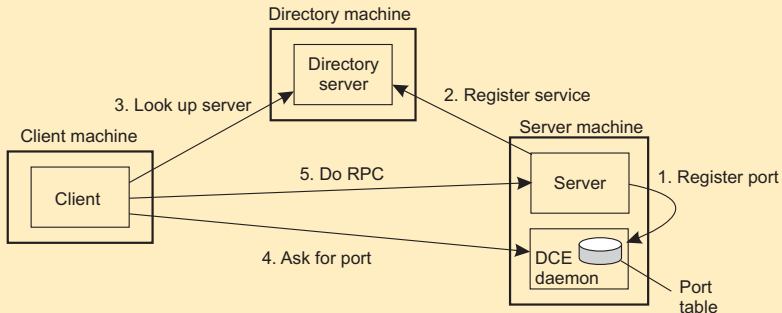
Transient messaging: sockets

Berkeley socket interface

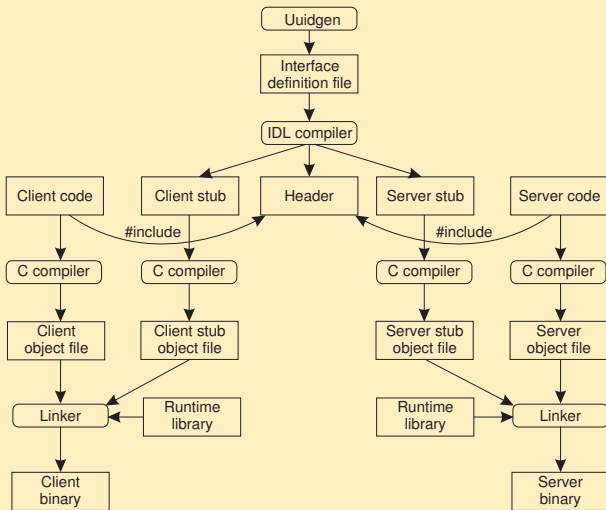| Operation	Description
socket	Create a new communication end point
bind	Attach a local address to a socket
listen	Tell operating system what the maximum number of pending connection requests should be
accept	Block caller until a connection request arrives
connect	Actively attempt to establish a connection
send	Send some data over the connection
receive	Receive some data over the connection
close	Release the connection
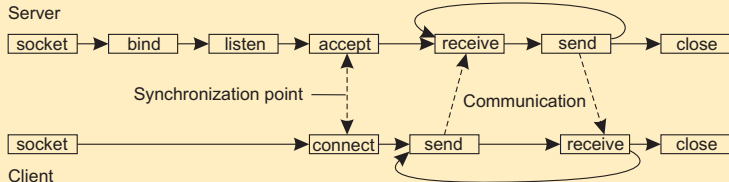
Sockets: Python code

Server

```python
1 from socket import *
2 s = socket(AF_INET, SOCK_STREAM)
3 s.bind((HOST, PORT))
4 s.listen(1)
5 (conn, addr) = s.accept()      # returns new socket and addr. client
6 while True:                    # forever
7    data = conn.recv(1024)      # receive data from client
8    if not data: break          # stop if client stopped
9    conn.send(str(data)+"*")    # return sent data plus an "*"
10 conn.close()                  # close the connection
```

Client

```python
1 from socket import *
2 s = socket(AF_INET, SOCK_STREAM)
3 s.connect((HOST, PORT))  # connect to server (block until accepted)
4 s.send('Hello, world')   # send same data
5 data = s.recv(1024)      # receive the response
6 print data               # print the result
7 s.close()                # close the connection
```

Making sockets easier to work with

Observation

Sockets are rather low level and programming mistakes are easily made. However, the way that they are used is often the same (such as in a client-server setting).

Alternative: ZeroMQ

Provides a higher level of expression by pairing sockets: one for sending messages at process P and a corresponding one at process Q for receiving messages. All communication is asynchronous.

Three patterns

- Request-reply
- Publish-subscribe
- Pipeline

Request-reply

Server

```python
1  import zmq
2  context = zmq.Context()
3
4  p1 = "tcp://"+ HOST +":"+ PORT1   # how and where to connect
5  p2 = "tcp://"+ HOST +":"+ PORT2   # how and where to connect
6  s  = context.socket(zmq.REP)      # create reply socket
7
8  s.bind(p1)                        # bind socket to address
9  s.bind(p2)                        # bind socket to address
10 while True:
11     message = s.recv()            # wait for incoming message
12     if not "STOP" in message:     # if not to stop...
13         s.send(message + "*")     # append "*" to message
14     else:                         # else...
15         break                     # break out of loop and end
```

Request-reply

Client

```
1  import zmq
2  context = zmq.Context()
3
4  php = "tcp://"+ HOST +":"+ PORT  # how and where to connect
5  s   = context.socket(zmq.REQ)   # create socket
6
7  s.connect(php)                   # block until connected
8  s.send("Hello World")           # send message
9  message = s.recv()              # block until response
10 s.send("STOP")                  # tell server to stop
11 print message                    # print result
```

Publish-subscribe

Server

```python
1  import zmq, time
2
3  context = zmq.Context()
4  s = context.socket(zmq.PUB)         # create a publisher socket
5  p = "tcp://"+ HOST +":"+ PORT       # how and where to communicate
6  s.bind(p)                           # bind socket to the address
7  while True:
8      time.sleep(5)                   # wait every 5 seconds
9      s.send("TIME " + time.asctime()) # publish the current time
```

Client

```python
1  import zmq
2
3  context = zmq.Context()
4  s = context.socket(zmq.SUB)         # create a subscriber socket
5  p = "tcp://"+ HOST +":"+ PORT       # how and where to communicate
6  s.connect(p)                        # connect to the server
7  s.setsockopt(zmq.SUBSCRIBE, "TIME") # subscribe to TIME messages
8
9  for i in range(5):  # Five iterations
10     time = s.recv()  # receive a message
11     print time
```

Pipeline

Source

```
 1  import zmq, time, pickle, sys, random
 2
 3  context = zmq.Context()
 4  me  = str(sys.argv[1])
 5  s   = context.socket(zmq.PUSH)        # create a push socket
 6  src = SRC1  if me == '1' else SRC2    # check task source host
 7  prt = PORT1 if me == '1' else PORT2   # check task source port
 8  p   = "tcp://"+ src +":"+ prt         # how and where to connect
 9  s.bind(p)                             # bind socket to address
10
11  for i in range(100):                  # generate 100 workloads
12    workload = random.randint(1, 100)   # compute workload
13    s.send(pickle.dumps((me,workload))) # send workload to worker
```

Pipeline

Worker

```
1  import zmq, time, pickle, sys
2
3  context = zmq.Context()
4  me = str(sys.argv[1])
5  r  = context.socket(zmq.PULL)      # create a pull socket
6  p1 = "tcp://"+ SRC1 +":"+ PORT1    # address first task source
7  p2 = "tcp://"+ SRC2 +":"+ PORT2    # address second task source
8  r.connect(p1)                      # connect to task source 1
9  r.connect(p2)                      # connect to task source 2
10
11 while True:
12   work = pickle.loads(r.recv())    # receive work from a source
13   time.sleep(work[1]*0.01)         # pretend to work
```

MPI: When lots of flexibility is needed

Representative operations

Operation	Description
MPI_bsend	Append outgoing message to a local send buffer
MPI_send	Send a message and wait until copied to local or remote buffer
MPI_ssend	Send a message and wait until transmission starts
MPI_sendrecv	Send a message and wait for reply
MPI_isend	Pass reference to outgoing message, and continue
MPI_issend	Pass reference to outgoing message, and wait until receipt starts
MPI_recv	Receive a message; block if there is none
MPI_irecv	Check if there is an incoming message, but do not block

Message-oriented middleware

Essence

Asynchronous persistent communication through support of middleware-level queues. Queues correspond to buffers at communication servers.
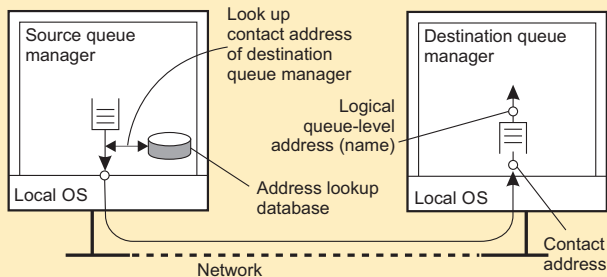
Operations

Operation	Description
put	Append a message to a specified queue
get	Block until the specified queue is nonempty, and remove the first message
poll	Check a specified queue for messages, and remove the first. Never block
notify	Install a handler to be called when a message is put into the specified queue

General model

Queue managers

Queues are managed by queue managers. An application can put messages only into a local queue. Getting a message is possible by extracting it from a local queue only ⇒ queue managers need to route messages.

Routing

Source queue manager

Look up contact address of destination queue manager

Destination queue manager

Logical queue-level address (name)

Local OS

Address lookup database

Local OS

Contact address

Network

Message broker

Observation
Message queuing systems assume a common messaging protocol: all applications agree on message format (i.e., structure and data representation)

Broker handles application heterogeneity in an MQ system
- Transforms incoming messages to target format
- Very often acts as an application gateway
- May provide subject-based routing capabilities (i.e., publish-subscribe capabilities)

Message broker: general architecture
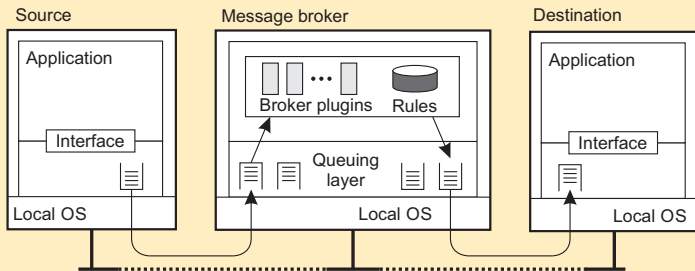
IBM's WebSphere MQ

Basic concepts

- Application-specific messages are put into, and removed from queues
- Queues reside under the regime of a queue manager
- Processes can put messages only in local queues, or through an RPC mechanism

Message transfer

- Messages are transferred between queues
- Message transfer between queues at different processes, requires a channel
- At each end point of channel is a message channel agent
- Message channel agents are responsible for:
  - Setting up channels using lower-level network communication facilities (e.g., TCP/IP)
  - (Un)wrapping messages from/in transport-level packets
  - Sending/receiving packets

IBM's WebSphere MQ

Schematic overview
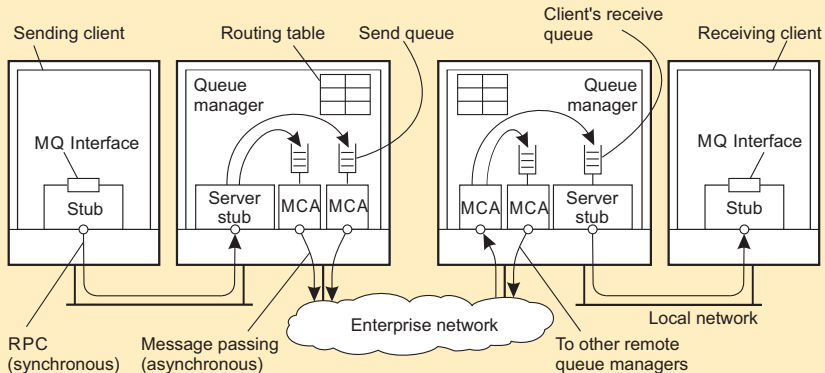
- Channels are inherently unidirectional
- Automatically start MCAs when messages arrive
- Any network of queue managers can be created
- Routes are set up manually (system administration)

Message channel agents

Some attributes associated with message channel agents

Attribute	Description
Transport type	Determines the transport protocol to be used
FIFO delivery	Indicates that messages are to be delivered in the order they are sent
Message length	Maximum length of a single message
Setup retry count	Specifies maximum number of retries to start up the remote MCA
Delivery retries	Maximum times MCA will try to put received message into queue

IBM's WebSphere MQ

Routing

By using logical names, in combination with name resolution to local queues, it is possible to put a message in a remote queue
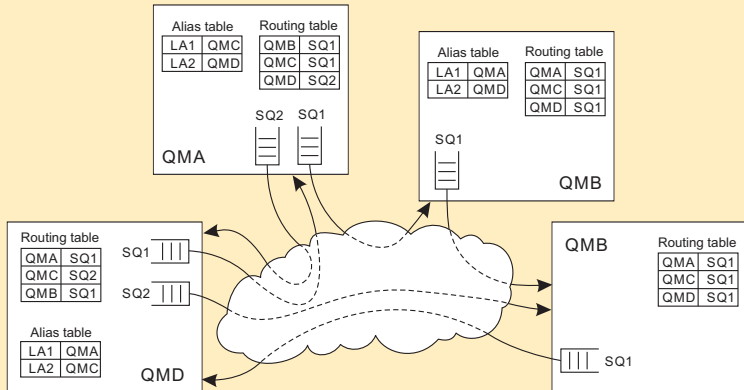
Application-level multicasting

Essence

Organize nodes of a distributed system into an overlay network and use that network to disseminate data:

- Oftentimes a tree, leading to unique paths
- Alternatively, also mesh networks, requiring a form of routing

Application-level multicasting in Chord

Basic approach

1. Initiator generates a multicast identifier *mid*.
2. Lookup *succ(mid)*, the node responsible for *mid*.
3. Request is routed to *succ(mid)*, which will become the root.
4. If *P* wants to join, it sends a join request to the root.
5. When request arrives at *Q*:

   - *Q* has not seen a join request before ⇒ it becomes forwarder; *P* becomes child of *Q*. Join request continues to be forwarded.
   - *Q* knows about tree ⇒ *P* becomes child of *Q*. No need to forward join request anymore.

ALM: Some costs

Different metrics
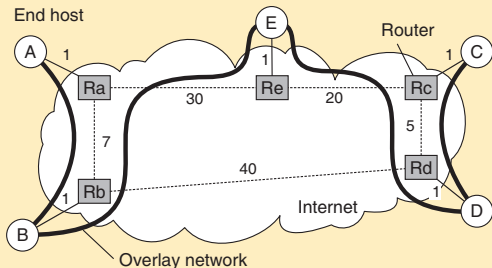
- Link stress: How often does an ALM message cross the same physical link? Example: message from *A* to *D* needs to cross ⟨*Ra*, *Rb*⟩ twice.
- Stretch: Ratio in delay between ALM-level path and network-level path. Example: messages *B* to *C* follow path of length 73 at ALM, but 47 at network level ⇒ stretch = 73/47.

Flooding

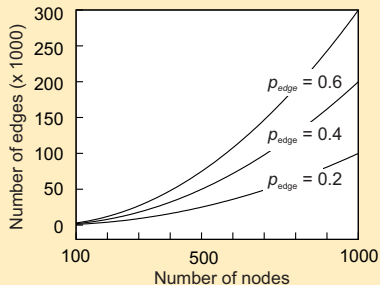## Essence

P simply sends a message *m* to each of its neighbors. Each neighbor will forward that message, except to *P*, and only if it had not seen *m* before.

Performance

The more edges, the more expensive!

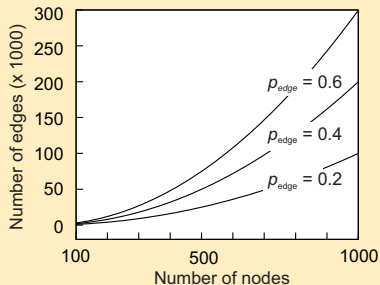## The size of a random overlay as function of the number of nodes

Flooding

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Performance

The more edges, the more expensive!

The size of a random overlay as function of the number of nodes

Variation

Let *Q* forward a message with a certain probability p_{flood}, possibly even dependent on its own number of neighbors (i.e., node degree) or the degree of its neighbors.

Epidemic protocols

Assume there are no write–write conflicts

- Update operations are performed at a single server
- A replica passes updated state to only a few neighbors
- Update propagation is lazy, i.e., not immediate
- Eventually, each update should reach every replica

Two forms of epidemics

- Anti-entropy: Each replica regularly chooses another replica at random, and exchanges state differences, leading to identical states at both afterwards
- Rumor spreading: A replica which has just been updated (i.e., has been contaminated), tells a number of other replicas about its update (contaminating them as well).

Anti-entropy

Principle operations

- A node *P* selects another node *Q* from the system at random.
- Pull: *P* only pulls in new updates from *Q*
- Push: *P* only pushes its own updates to *Q*
- Push-pull: *P* and *Q* send updates to each other

Observation

For push-pull it takes $\mathcal{O}(log(N))$ rounds to disseminate updates to all *N* nodes (round = when every node has taken the initiative to start an exchange).

Anti-entropy: analysis

Basics

Consider a single source, propagating its update. Let p_i be the probability that a node has not received the update after the ith round.

Analysis: staying ignorant

- With pull, $p_{i+1} = (p_i)^2$: the node was not updated during the ith round and should contact another ignorant node during the next round.
- With push,
  $p_{i+1} = p_i(1 - \frac{1}{N})^{N(1-p_i)} \approx p_i e^{-1}$ (for small p_i and large N): the node was ignorant during the ith round and no updated node chooses to contact it during the next round.
- With push-pull: $(p_i)^2 \cdot (p_i e^{-1})$
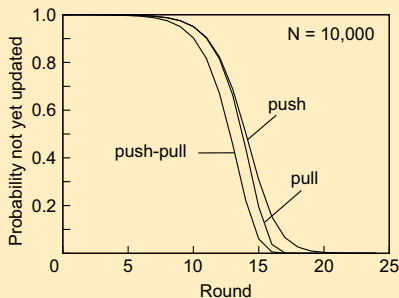
Anti-entropy performance
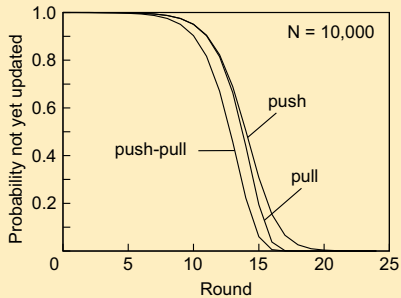
Rumor spreading

Basic model

A server S having an update to report, contacts other servers. If a server is contacted to which the update has already propagated, S stops contacting other servers with probability p_{stop}.

Observation

If s is the fraction of ignorant servers (i.e., which are unaware of the update), it can be shown that with many servers

$$s = e^{-(1/p_{stop}+1)(1-s)}$$

Formal analysis

Notations

Let s denote fraction of nodes that have not yet been updated (i.e., susceptible; i the fraction of updated (infected) and active nodes; and r the fraction of updated nodes that gave up (removed).

From theory of epidemics

$$
\begin{aligned}
(1) \quad ds/dt &= -s \cdot i \\
(2) \quad di/dt &= s \cdot i - p_{stop} \cdot (1-s) \cdot i \\
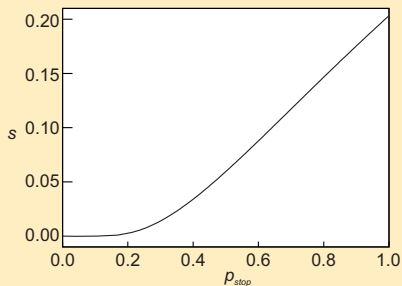\Rightarrow \quad di/ds &= -(1+p_{stop}) + \frac{p_{stop}}{s} \\
\Rightarrow \quad i(s) &= -(1+p_{stop}) \cdot s + p_{stop} \cdot \ln(s) + C
\end{aligned}
$$

Wrapup

$i(1) = 0 \Rightarrow C = 1 + p_{stop} \Rightarrow i(s) = (1+p_{stop}) \cdot (1-s) + p_{stop} \cdot \ln(s)$. We are looking for the case $i(s) = 0$, which leads to $s = e^{-(1/p_{stop}+1)(1-s)}$
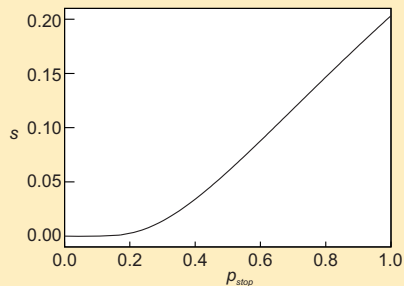
Rumor spreading

The effect of stopping

Consider 10,000 nodes		
$1/p_{stop}$	s	N_s
1	0.203188	2032
2	0.059520	595
3	0.019827	198
4	0.006977	70
5	0.002516	25
6	0.000918	9
7	0.000336	3

Rumor spreading

The effect of stopping

	Consider 10,000 nodes	
$1/p_{stop}$	s	N_s
1	0.203188	2032
2	0.059520	595
3	0.019827	198
4	0.006977	70
5	0.002516	25
6	0.000918	9
7	0.000336	3

Note

If we really have to ensure that all servers are eventually updated, rumor spreading alone is not enough

Deleting values

Fundamental problem

We cannot remove an old value from a server and expect the removal to propagate. Instead, mere removal will be undone in due time using epidemic algorithms

Solution

Removal has to be registered as a special update by inserting a death certificate

Deleting values

When to remove a death certificate (it is not allowed to stay for ever)

- Run a global algorithm to detect whether the removal is known everywhere, and then collect the death certificates (looks like garbage collection)
- Assume death certificates propagate in finite time, and associate a maximum lifetime for a certificate (can be done at risk of not reaching all servers)

Note

It is necessary that a removal actually reaches all servers.